

October 8, 2018

Via Electronic Filing

Chief Clerk NC Utilities Commission

RE: Docket No. SP-11723, Sub 0

FERC Form 556 for Apex Solar, LLC

Dear Clerk Jarvis,

Enclosed for filing is a copy of the Form 556 for <u>Apex Solar, LLC</u> that has been filed with the Federal Energy Regulatory Commission in Docket No. QF18-1733-000.

Thank you for your assistance with this matter. If you should require additional information and/or have any questions, please feel free to contact us directly at regulatory@ccrenew.com.

Cc: Duke Energy Carolinas

FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, DC

OMB Control # 1902-0075 Expiration 06/30/2019

Form 556 Certification of Qualifying Facility (QF) Status for a Small Power Production or Cogeneration Facility

General

Questions about completing this form should be sent to Form556@ferc.gov. Information about the Commission's QF program, answers to frequently asked questions about QF requirements or completing this form, and contact information for QF program staff are available at the Commission's QF website, www.ferc.gov/QF. The Commission's QF website also provides links to the Commission's QF regulations (18 C.F.R. § 131.80 and Part 292), as well as other statutes and orders pertaining to the Commission's QF program.

Who Must File

Any applicant seeking QF status or recertification of QF status for a generating facility with a net power production capacity (as determined in lines 7a through 7g below) greater than 1000 kW must file a self-certification or an application for Commission certification of QF status, which includes a properly completed Form 556. Any applicant seeking QF status for a generating facility with a net power production capacity 1000 kW or less is exempt from the certification requirement, and is therefore not required to complete or file a Form 556. *See* 18 C.F.R. § 292.203.

How to Complete the Form 556

This form is intended to be completed by responding to the items in the order they are presented, according to the instructions given. If you need to back-track, you may need to clear certain responses before you will be allowed to change other responses made previously in the form. If you experience problems, click on the nearest help button () for assistance, or contact Commission staff at Form556@ferc.gov.

Certain lines in this form will be automatically calculated based on responses to previous lines, with the relevant formulas shown. You must respond to all of the previous lines within a section before the results of an automatically calculated field will be displayed. If you disagree with the results of any automatic calculation on this form, contact Commission staff at Form556@ferc.gov to discuss the discrepancy before filing.

You must complete all lines in this form unless instructed otherwise. Do not alter this form or save this form in a different format. Incomplete or altered forms, or forms saved in formats other than PDF, will be rejected.

How to File a Completed Form 556

Applicants are required to file their Form 556 electronically through the Commission's eFiling website (see instructions on page 2). By filing electronically, you will reduce your filing burden, save paper resources, save postage or courier charges, help keep Commission expenses to a minimum, and receive a much faster confirmation (via an email containing the docket number assigned to your facility) that the Commission has received your filing.

If you are simultaneously filing both a waiver request and a Form 556 as part of an application for Commission certification, see the "Waiver Requests" section on page 3 for more information on how to file.

Paperwork Reduction Act Notice

This form is approved by the Office of Management and Budget. Compliance with the information requirements established by the FERC Form No. 556 is required to obtain or maintain status as a QF. See 18 C.F.R. § 131.80 and Part 292. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The estimated burden for completing the FERC Form No. 556, including gathering and reporting information, is as follows: 3 hours for self-certification of a small power production facility, 8 hours for self-certifications of a cogeneration facility, 6 hours for an application for Commission certification of a small power production facility, and 50 hours for an application for Commission certification of a cogeneration facility. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the following: Information Clearance Officer, Office of the Executive Director (ED-32), Federal Energy Regulatory Commission, 888 First Street N.E., Washington, DC 20426 (DataClearance@ferc.gov); and Desk Officer for FERC, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503 (oira_submission@omb.eop.gov). Include the Control No. 1902-0075 in any correspondence.

FERC Form 556 Page 2 - Instructions

Electronic Filing (eFiling)

To electronically file your Form 556, visit the Commission's QF website at www.ferc.gov/QF and click the eFiling link.

If you are eFiling your first document, you will need to register with your name, email address, mailing address, and phone number. If you are registering on behalf of an employer, then you will also need to provide the employer name, alternate contact name, alternate contact phone number and and alternate contact email.

Once you are registered, log in to eFiling with your registered email address and the password that you created at registration. Follow the instructions. When prompted, select one of the following QF-related filing types, as appropriate, from the Electric or General filing category.

Filing category	Filing Type as listed in eFiling	Description
	(Fee) Application for Commission Cert. as Cogeneration QF	Use to submit an application for Commission certification or Commission recertification of a cogeneration facility as a QF.
	(Fee) Application for Commission Cert. as Small Power QF	Use to submit an application for Commission certification or Commission recertification of a small power production facility as a QF.
	Self-Certification Notice (QF, EG, FC)	Use to submit a notice of self- certification of your facility (cogeneration or small power production) as a QF.
Electric	Self-Recertification of Qualifying Facility (QF)	Use to submit a notice of self- recertification of your facility (cogeneration or small power production) as a QF.
	Supplemental Information or Request	Use to correct or supplement a Form 556 that was submitted with errors or omissions, or for which Commission staff has requested additional information. Do not use this filing type to report new changes to a facility or its ownership; rather, use a self- recertification or Commission recertification to report such changes.
General	(Fee) Petition for Declaratory Order (not under FPA Part 1)	Use to submit a petition for declaratory order granting a waiver of Commission QF regulations pursuant to 18 C.F.R. §§ 292.204(a) (3) and/or 292.205(c). A Form 556 is not required for a petition for declaratory order unless Commission recertification is being requested as part of the petition.

You will be prompted to submit your filing fee, if applicable, during the electronic submission process. Filing fees can be paid via electronic bank account debit or credit card.

During the eFiling process, you will be prompted to select your file(s) for upload from your computer.

FERC Form 556 Page 3 - Instructions

Filing Fee

No filing fee is required if you are submitting a self-certification or self-recertification of your facility as a QF pursuant to 18 C.F.R. § 292.207(a).

A filing fee is required if you are filing either of the following:

(1) an application for Commission certification or recertification of your facility as a QF pursuant to 18 C.F.R. § 292.207(b), or

(2) a petition for declaratory order granting waiver pursuant to 18 C.F.R. §§ 292.204(a)(3) and/or 292.205(c).

The current fees for applications for Commission certifications and petitions for declaratory order can be found by visiting the Commission's QF website at www.ferc.gov/QF and clicking the Fee Schedule link.

You will be prompted to submit your filing fee, if applicable, during the electronic filing process described on page 2.

Required Notice to Utilities and State Regulatory Authorities

Pursuant to 18 C.F.R. § 292.207(a)(ii), you must provide a copy of your self-certification or request for Commission certification to the utilities with which the facility will interconnect and/or transact, as well as to the State regulatory authorities of the states in which your facility and those utilities reside. Links to information about the regulatory authorities in various states can be found by visiting the Commission's QF website at www.ferc.gov/QF and clicking the Notice Requirements link.

What to Expect From the Commission After You File

An applicant filing a Form 556 electronically will receive an email message acknowledging receipt of the filing and showing the docket number assigned to the filing. Such email is typically sent within one business day, but may be delayed pending confirmation by the Secretary of the Commission of the contents of the filing.

An applicant submitting a self-certification of QF status should expect to receive no documents from the Commission, other than the electronic acknowledgement of receipt described above. Consistent with its name, a self-certification is a certification by the applicant itself that the facility meets the relevant requirements for QF status, and does not involve a determination by the Commission as to the status of the facility. An acknowledgement of receipt of a self-certification, in particular, does not represent a determination by the Commission with regard to the QF status of the facility. An applicant self-certifying may, however, receive a rejection, revocation or deficiency letter if its application is found, during periodic compliance reviews, not to comply with the relevant requirements.

An applicant submitting a request for Commission certification will receive an order either granting or denying certification of QF status, or a letter requesting additional information or rejecting the application. Pursuant to 18 C.F.R. § 292.207(b)(3), the Commission must act on an application for Commission certification within 90 days of the later of the filing date of the application or the filing date of a supplement, amendment or other change to the application.

Waiver Requests

18 C.F.R. § 292.204(a)(3) allows an applicant to request a waiver to modify the method of calculation pursuant to 18 C.F.R. § 292.204(a)(2) to determine if two facilities are considered to be located at the same site, for good cause. 18 C.F.R. § 292.205(c) allows an applicant to request waiver of the requirements of 18 C.F.R. §§ 292.205(a) and (b) for operating and efficiency upon a showing that the facility will produce significant energy savings. A request for waiver of these requirements must be submitted as a petition for declaratory order, with the appropriate filing fee for a petition for declaratory order. Applicants requesting Commission recertification as part of a request for waiver of one of these requirements should electronically submit their completed Form 556 along with their petition for declaratory order, rather than filing their Form 556 as a separate request for Commission recertification. Only the filing fee for the petition for declaratory order must be paid to cover both the waiver request and the request for recertification *if such requests are made simultaneously*.

18 C.F.R. § 292.203(d)(2) allows an applicant to request a waiver of the Form 556 filing requirements, for good cause. Applicants filing a petition for declaratory order requesting a waiver under 18 C.F.R. § 292.203(d)(2) do not need to complete or submit a Form 556 with their petition.

FERC Form 556 Page 4 - Instructions

Geographic Coordinates

If a street address does not exist for your facility, then line 3c of the Form 556 requires you to report your facility's geographic coordinates (latitude and longitude). Geographic coordinates may be obtained from several different sources. You can find links to online services that show latitude and longitude coordinates on online maps by visiting the Commission's QF webpage at www.ferc.gov/QF and clicking the Geographic Coordinates link. You may also be able to obtain your geographic coordinates from a GPS device, Google Earth (available free at http://earth.google.com), a property survey, various engineering or construction drawings, a property deed, or a municipal or county map showing property lines.

Filing Privileged Data or Critical Energy Infrastructure Information in a Form 556

The Commission's regulations provide procedures for applicants to either (1) request that any information submitted with a Form 556 be given privileged treatment because the information is exempt from the mandatory public disclosure requirements of the Freedom of Information Act, 5 U.S.C. § 552, and should be withheld from public disclosure; or (2) identify any documents containing critical energy infrastructure information (CEII) as defined in 18 C.F.R. § 388.113 that should not be made public.

If you are seeking privileged treatment or CEII status for any data in your Form 556, then you must follow the procedures in 18 C.F.R. § 388.112. See www.ferc.gov/help/filing-guide/file-ceii.asp for more information.

Among other things (see 18 C.F.R. § 388.112 for other requirements), applicants seeking privileged treatment or CEII status for data submitted in a Form 556 must prepare and file both (1) a complete version of the Form 556 (containing the privileged and/or CEII data), and (2) a public version of the Form 556 (with the privileged and/or CEII data redacted). Applicants preparing and filing these different versions of their Form 556 must indicate below the security designation of this version of their document. If you are *not* seeking privileged treatment or CEII status for any of your Form 556 data, then you should not respond to any of the items on this page.

Non-Public: Applicant is seeking privileged treatment and/or CEII status for data contained in the Form 556 lines indicated below. This non-public version of the applicant's Form 556 contains all data, including the data that is redacted in the (separate) public version of the applicant's Form 556.
 Public (redacted): Applicant is seeking privileged treatment and/or CEII status for data contained in the Form 556 lines indicated below. This public version of the applicants's Form 556 contains all data except for data from the lines indicated below, which has been redacted.
Privileged : Indicate below which lines of your form contain data for which you are seeking privileged treatment
Critical Energy Infrastructure Information (CEII): Indicate below which lines of your form contain data for which you are seeking CEII status

The eFiling process described on page 2 will allow you to identify which versions of the electronic documents you submit are public, privileged and/or CEII. The filenames for such documents should begin with "Public", "Priv", or "CEII", as applicable, to clearly indicate the security designation of the file. Both versions of the Form 556 should be unaltered PDF copies of the Form 556, as available for download from www.ferc.gov/QF. To redact data from the public copy of the submittal, simply omit the relevant data from the Form. For numerical fields, leave the redacted fields blank. For text fields, complete as much of the field as possible, and replace the redacted portions of the field with the word "REDACTED" in brackets. Be sure to identify above all fields which contain data for which you are seeking non-public status.

The Commission is not responsible for detecting or correcting filer errors, including those errors related to security designation. If your documents contain sensitive information, make sure they are filed using the proper security designation.

OMB Control # 1902-0075 Expiration 06/30/2019

FEDERAL ENERGY REGULATORY COMMISSION WASHINGTON, DC

Form 556 Certification of Qualifying Facility (QF) Status for a Small Power Production or Cogeneration Facility

1b Applicant street a 3250 Ocean Passuite 355			
1c City		1d State/prov	rince
Santa Monica		CA	_
1e Postal code 90405	1f Country (if not United States)		1g Telephone number (310) 581–6299
1h Has the instant fa	cility ever previously been certified as a C)F? Yes 🗌 I	No 🔀
1i If yes, provide the	docket number of the last known QF filin	g pertaining to t	his facility: QF
1j Under which certif	ication process is the applicant making t	his filing?	
Notice of self-ce	rtification / f	Application for Co	ommission certification (requires filing e" section on page 3)
QF status. A noti notice of self-cert	If-certification is a notice by the applican ce of self-certification does not establish ification to verify compliance. See the "\ 3 for more information.	a proceeding, an	nd the Commission does not review a
1k What type(s) of Q	status is the applicant seeking for its fac	cility? (check all t	hat apply)
Qualifying smal	power production facility status	Qualifying cogen	eration facility status
•	se and expected effective date(s) of this f	_	
○ Original certification ○ Origi	ation; facility expected to be installed by	11/15/19 a	and to begin operation on $\frac{12/1/19}{}$
	previously certified facility to be effective		
	of change(s) below, and describe chang	je(s) in the Misce	llaneous section starting on page 19)
_	e and/or other administrative change(s)		
☐ Change in o	whership fecting plant equipment, fuel use, powe	r production can	acity and/or cogeneration thermal outp
			acity and/or cogeneration thermal outp
	orrection to a previous filing submitted coplement or correction in the Miscellane		ing on page 19)
1m If any of the follo	wing three statements is true, check the sible, explaining any special circumstance	box(es) that desc	cribe your situation and complete the fo
The instant fac	cility complies with the Commission's QF nted by the Commission in an order data Aiscellaneous section starting on page 19	requirements by ed	
1 1	cility would comply with the Commissior vith this application is granted	ı's QF requiremei	nts if a petition for waiver submitted
employment of	cility complies with the Commission's reg of unique or innovative technologies not ation of compliance via this form difficult	contemplated b	y the structure of this form, that make

Page 6 - All Facilities

FERC Form 556

	2a Name of contact person			2b Telephone number	
	Evan Riley			(310) 581-6299	[
	Which of the following describes the contact person's relationship to the applicant? (check one)				
	Applicant (self) Employee, owner or partner of applicant authorized to represent the applicant				
ON	Employee of a company affiliat		•		
ati			·	• •	
Ĺ	Lawyer, consultant, or other representative authorized to represent the applicant on this matter 2d Company or organization name (if applicant is an individual, check here and skip to line 2e)				
lfo	Cypress Creek Renewables, LLC				
Contact Information	2e Street address (if same as Applicant, check here and skip to line 3a) ✓				
ac	are street address (ii same as rippine	and stip to i	me 3u/ 🔼		4
ont					6
Ŭ	2f City		2g State/provi	nce	3
	ZI City		29 State/provi	nce	•
	2h Postal code	2i Country (if not United S	tates)		
	ZII FOStal Code	21 Country (if flot officed 5	iales)		
	3a Facility name				1
L C	Apex Solar, LLC				
Facility Identification and Location	3b Street address (if a street address	does not exist for the facili	ty check here a	nd skin to line 3c) 🗸	
OC	Street address (ii a street address	does not exist for the facili	ty, check field a	The skip to line sey	i
) 					
anc	3c Goographic coordinates: If you in	adicated that no street addr	oss ovists for vo	ur facility by checking the box in line 3b,	
L S				in degrees (to three decimal places). Use	
atic				and seconds: decimal degrees = es" section on page 4 for help. If you	
įį				graphic coordinates below is optional.	
ıti.	East (+)	400 1		North (+)	
ger	Longitude \boxtimes West (-) \longrightarrow 81	.482 degrees	Latitude [South (-) 35.423 degrees	
<u>></u>	3d City (if unincorporated, check he	re and enter nearest city)	3e State/pr	ovince	
≝	Lawndale		North Car	rolina	
- <u>a</u>	3f County (or check here for indepe	ndent city) 3g	Country (if not	United States)	A
	Cleveland				
	Identify the electric utilities that are o	ontemplated to transact wi	th the facility.		
es	4a Identify utility interconnecting with the facility				
ij	Duke Energy Carolinas				
Uti	4b Identify utilities providing wheeling service or check here if none				7
g	, , , , , , , , , , , , , , , , , , , ,				•
ij	4c Identify utilities purchasing the useful electric power output or check here if none				7
sa	Duke Energy Carolinas			•	
Transacting Utilities					0
Ĭ	service or check here if none	sites, porter, adenap po		The second secon	i
	Duke Energy Carolinas				

two direct owners with the largest equity interest in th Full legal names of direct ow	Electric utility or If Y holding % eq
1) Apex Solar, LLC	Yes 🔀 No 🗌
2)	
3)	Yes No
4)	Yes No
5)	Yes No
6)	Yes No
7)	Yes
8)	Yes No
9)	Yes No
5b Upstream (i.e., indirect) ownership as of effective date of the facility that both (1) hold at least 10 percent equ defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2	Yes No Starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own ity interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section (005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of
Check here and continue in the Miscellaneous sec 5b Upstream (i.e., indirect) ownership as of effective date of the facility that both (1) hold at least 10 percent equ defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the property of th	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section (005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.)
Check here and continue in the Miscellaneous sec 5b Upstream (i.e., indirect) ownership as of effective date of the facility that both (1) hold at least 10 percent equ defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exc Check here if no such upstream owners exist. Full legal names of electric utility or ho	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 1005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) We equilibrium of the facility and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 1005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of 100 percent.)
Check here and continue in the Miscellaneous sector of the facility that both (1) hold at least 10 percent equivalence of the facility that both (1) hold at least 10 percent equivalence of the Public Utility Holding Company Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the property of the Public Utility of the Public Utility of the Public Utility of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the property of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the public Utility of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the public Utility Holding Company Act of 2 equity interest in the facility held by such owners.	Yes No No cition starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) We equilibrium of the interest in the interest of the interest interest in the interest
Check here and continue in the Miscellaneous sectors of the facility that both (1) hold at least 10 percent equivariate defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may except the following company and the facility held by such owners. (No another, total percent equity interest reported may except the following company and the facility held by such owners. (No another, total percent equity interest reported may except the following company and the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners.)	Yes No No cition starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) Mathematical Company (1) We equipment of the interest
Check here and continue in the Miscellaneous sectors 5b Upstream (i.e., indirect) ownership as of effective date of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may except the following Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may except the following following in the facility held by such owners. (No another, total percent equity interest reported may except the following following in the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another held by such owners.) [Suppress Creek Renewables Holdings of the facility held by such owners.]	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own that in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 1005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) Mathematical Research Start Sta
Check here and continue in the Miscellaneous sector of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the facility held by such owners. (No another, total percent equity interest reported may exceed the facility held by such owners. (No another, total percent equity interest reported may exceed the facility held by such owners. (No another, total percent equity interest reported may exceed the facility held by such owners. (No another, total percent equity interest reported may exceed the facility of	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) No
Check here and continue in the Miscellaneous section of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the following interest in the facility held by such owners. (No another, total percent equity interest reported may exceed the following interest repo	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) No
Check here and continue in the Miscellaneous sector of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another the facility held by such owners.) (No another th	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) No
☐ Check here and continue in the Miscellaneous sector of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may execute the facility held by such owners. (No another, total percent equity interest reported may execute the facility held by such owners. (No another, total percent equity interest reported may execute the facility held by such owners. (No another, total percent equity interest reported may execute the facility held by such owners. (No another, total percent equity interest reported may execute the facility of the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility of how another, total percent equity interest reported may execute the facility held by such owners. (No another facility held by such owners.) Full legal names of electric utility or how another, total percent equity interest reported may execute the facility held by such owners. (No another facility held by such owners.) Full legal names of electric utility or how another, total percent equity interest reported may execute the facility held by such owners. (No another facility held by such owners.) Full legal names of electric utility or how another, total percent equity interest reported may execute the facility held by such owners. (No another facility held by such owners.) Full legal names of ele	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) No
Check here and continue in the Miscellaneous sector of the facility that both (1) hold at least 10 percent equivariance defined in section 3(22) of the Federal Power Act (16 U 1262(8) of the Public Utility Holding Company Act of 2 equity interest in the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility of the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another, total percent equity interest reported may except the facility held by such owners. (No another the	Yes No No ction starting on page 19 if additional space is needed or operation date: Identify all upstream (i.e., indirect) own the interest in the facility, and (2) are electric utilities, as J.S.C. 796(22)), or holding companies, as defined in section 005 (42 U.S.C. 16451(8)). Also provide the percentage of the that, because upstream owners may be subsidiaries of ceed 100 percent.) No

FERC Form 556

Page 8 - All Facilities

6a Describe the primary energy input: (check one main category and, if applicable, one subcategory)

Biomass (specify)

Renewable resources (specify)

Geothermal

Landfill gas

Hydro power - river

Fossil fuel (specify)

	Describe the primary energy input. (er	icek one main eategory and, ii applicable, t	one subcategory)			
	Biomass (specify)	Renewable resources (specify)	Geothermal			
	Landfill gas	☐ Hydro power - river	Fossil fuel (specify)			
	☐ Manure digester gas	☐ Hydro power - tidal	☐ Coal (not waste)			
	Municipal solid waste	☐ Hydro power - wave	☐ Fuel oil/diesel			
	Sewage digester gas	⊠ Solar - photovoltaic	☐ Natural gas (not waste)			
	☐ Wood	☐ Solar - thermal	Other fossil fuel			
	Other biomass (describe on	· -	(describe on page 19)			
	Waste (specify type below in line 6	b) Other renewable resource (describe on page 19)	Other (describe on page 19)			
	6b If you specified "waste" as the primary	energy input in line 6a, indicate the type of	of waste fuel used: (check one)			
	Waste fuel listed in 18 C.F.R. § 29	2.202(b) (specify one of the following)				
	☐ Anthracite culm produced	prior to July 23, 1985				
	Anthracite refuse that has ash content of 45 percent	an average heat content of 6,000 Btu or les or more	s per pound and has an average			
	Bituminous coal refuse tha average ash content of 25	t has an average heat content of 9,500 Btu percent or more	per pound or less and has an			
nput	determined to be waste by (BLM) or that is located on	ous coal produced on Federal lands or on the United States Department of the Inter non-Federal or non-Indian lands outside one latter coal is an extension of that determ	rior's Bureau of Land Management f BLM's jurisdiction, provided that			
Energy Input	Coal refuse produced on Federal lands or on Indian lands that has been determined to be waste by the BLM or that is located on non- Federal or non-Indian lands outside of BLM's jurisdiction, provided that applicant shows that the latter is an extension of that determined by BLM to be waste					
Ш	Lignite produced in associates as a result of such a mining	ation with the production of montan wax a g operation	and lignite that becomes exposed			
	☐ Gaseous fuels (except natu	ıral gas and synthetic gas from coal) (descr	ibe on page 19)			
		s or oil wells (describe on page 19 how the cural gas; include with your filing any mate § 2.400)	·			
	Materials that a governme	nt agency has certified for disposal by com	bustion (describe on page 19)			
	☐ Heat from exothermic read	tions (describe on page 19)	Residual heat (describe on page 19)			
	☐ Used rubber tires ☐	☐ Plastic materials ☐ Refinery of	f-gas Petroleum coke			
	facility industry (describe in the l	as little or no commercial value and exists i Miscellaneous section starting on page 19; stence in the absence of the qualifying fac	include a discussion of the fuel's			
	energy inputs, and provide the related	culated on a calendar year basis, in terms of d percentage of the total average annual en fuel, use lower heating value (18 C.F.R. § 29	nergy input to the facility (18 C.F.R. §			
		Annual average energy	Percentage of total			
	Fuel Natural gas		annual energy input			
	Oil-based fuels	0 Btu/h	0 %			
	Coal	0 Btu/h	0 %			
	Coai	0 Btu/h	0 %			

FERC Form 556 Page 9 - All Facilities

Indicate the maximum gross and maximum net electric power production capacity of the facility at the point(s) of delivery by completing the worksheet below. Respond to all items. If any of the parasitic loads and/or losses identified in lines 7b through 7e are negligible, enter zero for those lines.

7a The maximum gross power production capacity at the terminals of the individual generator(s) under the most favorable anticipated design conditions	30,000 k	w
7b Parasitic station power used at the facility to run equipment which is necessary and integral to the power production process (boiler feed pumps, fans/blowers, office or maintenance buildings directly related to the operation of the power generating facility, etc.). If this facility includes non-power production processes (for instance, power consumed by a cogeneration facility's thermal host), do not include any power consumed by the non-power production activities in your		
reported parasitic station power.	150 k	.W
7c Electrical losses in interconnection transformers		
	540 k	.W
7d Electrical losses in AC/DC conversion equipment, if any		
	0 k	:W
7e Other interconnection losses in power lines or facilities (other than transformers and AC/DC		
conversion equipment) between the terminals of the generator(s) and the point of interconnection		
with the utility	0 k	.W
7f Total deductions from gross power production capacity = $7b + 7c + 7d + 7e$		
	690.0 k	W
7g Maximum net power production capacity = 7a - 7f		
i burning at the control of the cont	29,310.0 k	W

7h Description of facility and primary components: Describe the facility and its operation. Identify all boilers, heat recovery steam generators, prime movers (any mechanical equipment driving an electric generator), electrical generators, photovoltaic solar equipment, fuel cell equipment and/or other primary power generation equipment used in the facility. Descriptions of components should include (as applicable) specifications of the nominal capacities for mechanical output, electrical output, or steam generation of the identified equipment. For each piece of equipment identified, clearly indicate how many pieces of that type of equipment are included in the plant, and which components are normally operating or normally in standby mode. Provide a description of how the components operate as a system. Applicants for cogeneration facilities do not need to describe operations of systems that are clearly depicted on and easily understandable from a cogeneration facility's attached mass and heat balance diagram; however, such applicants should provide any necessary description needed to understand the sequential operation of the facility depicted in their mass and heat balance diagram. If additional space is needed, continue in the Miscellaneous section starting on page 19.

Technical Facility Information

The facility will be a 30.0 MW AC photovoltaic (PV) array comprised of approximately (132,327) 345Wp panels (or equivalent) attached to ground-mounted racks. The facility will utilize approximately (14) 2500kVa inverters (or equivalent).

Information Required for Small Power Production Facility

If you indicated in line 1k that you are seeking qualifying small power production facility status for your facility, then you

must	espond to the items on this page. Oth	nerwise, skip page 10.		
	Pursuant to 18 C.F.R. § 292.204(a), the with the power production capacity resource, are owned by the same per megawatts. To demonstrate complia from this size limitation under the So (Pub. L. 101-575, 104 Stat. 2834 (1990) through 8e below (as applicable).	of any other small powers of any other small powers on (s) or its affiliates, ance with this size limitals, Wind, Waste, and (ver production facilities that use the and are located at the same site, n tation, or to demonstrate that you Geothermal Power Production Inc	ne same energy nay not exceed 80 Ir facility is exempt entives Act of 1990
	8a Identify any facilities with electric equipment of the instant facility, and at least a 5 percent equity interest.			
Ge	Check here if no such facilities exist.			
ons	Facility location (city or county, state)	Root docket # (if any)	Common owner(s)	Maximum net power production capacity
ati	1)	QF -		kW
ot Complia Limitations	2)	QF		kW
	3)	QF -		kW
tification with Size	Check here and continue in the		starting on page 19 if additional s	space is needed
Certification of Compliance with Size Limitations	8b The Solar, Wind, Waste, and Georexemption from the size limitations i Are you seeking exemption from the Yes (continue at line 8c below	n 18 C.F.R. § 292.204(a size limitations in 18 0) for certain facilities that were ce	rtified prior to 1995. Incentives Act?
	8c Was the original notice of self-cel before December 31, 1994? Yes			
	8d Did construction of the facility co	ommence on or before	December 31, 1999? Yes	No 🗌
	8e If you answered No in line 8d, inc the facility, taking into account all fac a brief narrative explanation in the M particular, describe why construction toward completion of the facility.	ctors relevant to consti liscellaneous section si	ruction? Yes No lf you tarting on page 19 of the construc	answered Yes, provide ction timeline (in
rtification of Compliance h Fuel Use Requirements	Pursuant to 18 C.F.R. § 292.204(b), quamounts, for only the following purp prevention of unanticipated equipmenthe public health, safety, or welfare, used for these purposes may not exception beginning with the date the form	oses: ignition; start-up ent outages; and allev which would result fro eed 25 percent of the	o; testing; flame stabilization; cont iation or prevention of emergenci m electric power outages. The an total energy input of the facility d	rrol use; alleviation or les, directly affecting nount of fossil fuels uring the 12-month
on of C Use Re	9a Certification of compliance with 1		vith respect to uses of fossil fuel: Is exclusively for the purposes liste	ed above.
ificati Fuel I	9b Certification of compliance with	18 C.F.R. § 292.204(b) v	with respect to amount of fossil fu	el used annually:
rtific h Fu	Applicant certifies that the ar ⊠ percent of the total energy in		ed at the facility will not, in aggreg	

facility first produces electric energy or any calendar year thereafter.

Information Required for Cogeneration Facility

If you indicated in line 1k that you are seeking qualifying cogeneration facility status for your facility, then you must respond to the items on pages 11 through 13. Otherwise, skip pages 11 through 13.

	energy (such as heat or s use of energy. Pursuant cycle cogeneration facilit thermal application or pi	22.202(c), a cogeneration facility produces electric energy and forms of useful thermal team) used for industrial, commercial, heating, or cooling purposes, through the sequential to 18 C.F.R. § 292.202(s), "sequential use" of energy means the following: (1) for a toppingty, the use of reject heat from a power production process in sufficient amounts in a rocess to conform to the requirements of the operating standard contained in 18 C.F.R. § attoming-cycle cogeneration facility, the use of at least some reject heat from a thermal or power production.		
		eneration technology does the facility represent? (check all that apply)		
	Topping-cycle	cogeneration Bottoming-cycle cogeneration		
	10b To help demonstrate the sequential operation of the cogeneration process, and to support compliance with other requirements such as the operating and efficiency standards, include with your filing a mass and heat balance diagram depicting average annual operating conditions. This diagram must include certain items and meet certain requirements, as described below. You must check next to the description of each requirement below to certify that you have complied with these requirements.			
	Check to certify compliance with			
	indicated requirement	Requirement		
ration n		Diagram must show orientation within system piping and/or ducts of all prime movers, heat recovery steam generators, boilers, electric generators, and condensers (as applicable), as well as any other primary equipment relevant to the cogeneration process.		
gene natio		Any average annual values required to be reported in lines 10b, 12a, 13a, 13b, 13d, 13f, 14a, 15b, 15d and/or 15f must be computed over the anticipated hours of operation.		
General Cogeneration Information		Diagram must specify all fuel inputs by fuel type and average annual rate in Btu/h. Fuel for supplementary firing should be specified separately and clearly labeled. All specifications of fuel inputs should use lower heating values.		
jene		Diagram must specify average gross electric output in kW or MW for each generator.		
O		Diagram must specify average mechanical output (that is, any mechanical energy taken off of the shaft of the prime movers for purposes not directly related to electric power generation) in horsepower, if any. Typically, a cogeneration facility has no mechanical output.		
		At each point for which working fluid flow conditions are required to be specified (see below), such flow condition data must include mass flow rate (in lb/h or kg/s), temperature (in °F, R, °C or K), absolute pressure (in psia or kPa) and enthalpy (in Btu/lb or kJ/kg). Exception: For systems where the working fluid is <i>liquid only</i> (no vapor at any point in the cycle) and where the type of liquid and specific heat of that liquid are clearly indicated on the diagram or in the Miscellaneous section starting on page 19, only mass flow rate and temperature (not pressure and enthalpy) need be specified. For reference, specific heat at standard conditions for pure liquid water is approximately 1.002 Btu/ (lb*R) or 4.195 kJ/(kg*K).		
		Diagram must specify working fluid flow conditions at input to and output from each steam turbine or other expansion turbine or back-pressure turbine.		
		Diagram must specify working fluid flow conditions at delivery to and return from each thermal application.		
		Diagram must specify working fluid flow conditions at make-up water inputs.		

	EPAct 2005 cogeneration facilities: The Energy Policy Act of 2005 (EPAct 2005) established a new section 210(n) of the Public Utility Regulatory Policies Act of 1978 (PURPA), 16 USC 824a-3(n), with additional requirements for any qualifying cogeneration facility that (1) is seeking to sell electric energy pursuant to section 210 of PURPA and (2) was either not a cogeneration facility on August 8, 2005, or had not filed a self-certification or application for Commission certification of QF status on or before February 1, 2006. These requirements were implemented by the Commission in 18 C.F.R. § 292.205(d). Complete the lines below, carefully following the instructions, to demonstrate whether these additional requirements apply to your cogeneration facility and, if so, whether your facility complies with such requirements.	
	11a Was your facility operating as a qualifying cogeneration facility on or before August 8, 2005? Yes No	6
	11b Was the initial filing seeking certification of your facility (whether a notice of self-certification or an application for Commission certification) filed on or before February 1, 2006? Yes No	(
s e	If the answer to either line 11a or 11b is Yes, then continue at line 11c below. Otherwise, if the answers to both lines 11a and 11b are No, skip to line 11e below.	
ntal Us acilitie	11c With respect to the design and operation of the facility, have any changes been implemented on or after February 2, 2006 that affect general plant operation, affect use of thermal output, and/or increase net power production capacity from the plant's capacity on February 1, 2006?	•
n F	Yes (continue at line 11d below)	
2005 Requirements for Fundamental Use irgy Output from Cogeneration Facilities	No. Your facility is not subject to the requirements of 18 C.F.R. § 292.205(d) at this time. However, it may be subject to to these requirements in the future if changes are made to the facility. At such time, the applicant would need to recertify the facility to determine eligibility. Skip lines 11d through 11j.	
s tor oger	11d Does the applicant contend that the changes identified in line 11c are not so significant as to make the facility a "new" cogeneration facility that would be subject to the 18 C.F.R. § 292.205(d) cogeneration requirements?	(
ement: from C	Yes. Provide in the Miscellaneous section starting on page 19 a description of any relevant changes made to the facility (including the purpose of the changes) and a discussion of why the facility should not be considered a "new" cogeneration facility in light of these changes. Skip lines 11e through 11j.	
2005 Requiremen ergy Output from	No. Applicant stipulates to the fact that it is a "new" cogeneration facility (for purposes of determining the applicability of the requirements of 18 C.F.R. § 292.205(d)) by virtue of modifications to the facility that were initiated on or after February 2, 2006. Continue below at line 11e.	
) V O	11e Will electric energy from the facility be sold pursuant to section 210 of PURPA?	E
΄ Ψ	Yes. The facility is an EPAct 2005 cogeneration facility. You must demonstrate compliance with 18 C.F.R. § 292.205(d)(2) by continuing at line 11f below.	
ePAct of En	No. Applicant certifies that energy will <i>not</i> be sold pursuant to section 210 of PURPA. Applicant also certifies its understanding that it must recertify its facility in order to determine compliance with the requirements of 18 C.F.R. § 292.205(d) <i>before</i> selling energy pursuant to section 210 of PURPA in the future. Skip lines 11f through 11j.	
	11f Is the net power production capacity of your cogeneration facility, as indicated in line 7g above, less than or equal to 5,000 kW?	(
	Yes, the net power production capacity is less than or equal to 5,000 kW. 18 C.F.R. § 292.205(d)(4) provides a rebuttable presumption that cogeneration facilities of 5,000 kW and smaller capacity comply with the requirements for fundamental use of the facility's energy output in 18 C.F.R. § 292.205(d)(2). Applicant certifies its understanding that, should the power production capacity of the facility increase above 5,000 kW, then the facility must be recertified to (among other things) demonstrate compliance with 18 C.F.R. § 292.205(d)(2). Skip lines 11g through 11j.	
	No, the net power production capacity is greater than 5,000 kW. Demonstrate compliance with the requirements for fundamental use of the facility's energy output in 18 C.F.R. § 292.205(d)(2) by continuing on the next page at line 11g.	

Lines 11g through 11k below guide the applicant through the process of demonstrating compliance with the requirements for "fundamental use" of the facility's energy output. 18 C.F.R. § 292.205(d)(2). Only respond to the lines on this page if the instructions on the previous page direct you to do so. Otherwise, skip this page.

18 C.F.R. § 292.205(d)(2) requires that the electrical, thermal, chemical and mechanical output of an EPAct 2005 cogeneration facility is used fundamentally for industrial, commercial, residential or institutional purposes and is not intended fundamentally for sale to an electric utility, taking into account technological, efficiency, economic, and variable thermal energy requirements, as well as state laws applicable to sales of electric energy from a qualifying facility to its host facility. If you were directed on the previous page to respond to the items on this page, then your facility is an EPAct 2005 cogeneration facility that is subject to this "fundamental use" requirement.

The Commission's regulations provide a two-pronged approach to demonstrating compliance with the requirements for fundamental use of the facility's energy output. First, the Commission has established in 18 C.F.R. § 292.205(d)(3) a "fundamental use test" that can be used to demonstrate compliance with 18 C.F.R. § 292.205(d)(2). Under the fundamental use test, a facility is considered to comply with 18 C.F.R. § 292.205(d)(2) if at least 50 percent of the facility's total annual energy output (including electrical, thermal, chemical and mechanical energy output) is used for industrial, commercial, residential or institutional purposes.

Second, an applicant for a facility that does not pass the fundamental use test may provide a narrative explanation of and support for its contention that the facility nonetheless meets the requirement that the electrical, thermal, chemical and mechanical output of an EPAct 2005 cogeneration facility is used fundamentally for industrial, commercial, residential or institutional purposes and is not intended fundamentally for sale to an electric utility, taking into account technological, efficiency, economic, and variable thermal energy requirements, as well as state laws applicable to sales of electric energy from a qualifying facility to its host facility.

Complete lines 11g through 11j below to determine compliance with the fundamental use test in 18 C.F.R. § 292.205(d)(3). Complete lines 11g through 11j even if you do not intend to rely upon the fundamental use test to demonstrate compliance with 18 C.F.R. § 292.205(d)(2).

11g Amount of electrical, thermal, chemical and mechanical energy output (net of internal		
generation plant losses and parasitic loads) expected to be used annually for industrial,		
commercial, residential or institutional purposes and not sold to an electric utility		MWh
11h Total amount of electrical, thermal, chemical and mechanical energy expected to be		
sold to an electric utility		MWh
11i Percentage of total annual energy output expected to be used for industrial,		
commercial, residential or institutional purposes and not sold to a utility		
= 100 * 11g /(11g + 11h)	0	%

11j Is the response in line 11i greater than or equal to 50 percent?

Yes. Your facility complies with 18 C.F.R. § 292.205(d)(2) by virtue of passing the fundamental use test provided in 18 C.F.R. § 292.205(d)(3). Applicant certifies its understanding that, if it is to rely upon passing the fundamental use test as a basis for complying with 18 C.F.R. § 292.205(d)(2), then the facility must comply with the fundamental use test both in the 12-month period beginning with the date the facility first produces electric energy, and in all subsequent calendar years.

No. Your facility does not pass the fundamental use test. Instead, you must provide in the Miscellaneous section starting on page 19 a narrative explanation of and support for why your facility meets the requirement that the electrical, thermal, chemical and mechanical output of an EPAct 2005 cogeneration facility is used fundamentally for industrial, commercial, residential or institutional purposes and is not intended fundamentally for sale to an electric utility, taking into account technological, efficiency, economic, and variable thermal energy requirements, as well as state laws applicable to sales of electric energy from a QF to its host facility. Applicants providing a narrative explanation of why their facility should be found to comply with 18 C.F.R. § 292.205(d)(2) in spite of non-compliance with the fundamental use test may want to review paragraphs 47 through 61 of Order No. 671 (accessible from the Commission's QF website at www.ferc.gov/QF), which provide discussion of the facts and circumstances that may support their explanation. Applicant should also note that the percentage reported above will establish the standard that that facility must comply with, both for the 12-month period beginning with the date the facility first produces electric energy, and in all subsequent calendar years. *See* Order No. 671 at paragraph 51. As such, the applicant should make sure that it reports appropriate values on lines 11g and 11h above to serve as the relevant annual standard, taking into account expected variations in production conditions.



Btu/h

Btu/h

5)

6)

Information Required for Topping-Cycle Cogeneration Facility

If you indicated in line 10a that your facility represents topping-cycle cogeneration technology, then you must respond to the items on pages 14 and 15. Otherwise, skip pages 14 and 15.

The thermal energy output of a topping-cycle cogeneration facility is the net energy made available to an industrial
or commercial process or used in a heating or cooling application. Pursuant to sections 292.202(c), (d) and (h) of the
Commission's regulations (18 C.F.R. §§ 292.202(c), (d) and (h)), the thermal energy output of a qualifying topping-
cycle cogeneration facility must be useful. In connection with this requirement, describe the thermal output of the
topping-cycle cogeneration facility by responding to lines 12a and 12b below.

12a Identify and describe each thermal host, and specify the annual average rate of thermal output made available

	Name of entity (thermal host) taking thermal output	nosts with multiple uses of thermal output, provid Thermal host's relationship to facility; Thermal host's use of thermal output	Average annual rate of thermal output attributable to use (net of heat contained in process return or make-up water)
1)		Select thermal host's relationship to facility	
1)		Select thermal host's use of thermal output	Btu/h
2)		Select thermal host's relationship to facility	
2)		Select thermal host's use of thermal output	Btu/h
3)		Select thermal host's relationship to facility	
3)		Select thermal host's use of thermal output	Btu/h
4)		Select thermal host's relationship to facility	
4)		Select thermal host's use of thermal output	Btu/h

Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed

Select thermal host's relationship to facility

Select thermal host's use of thermal output

Select thermal host's relationship to facility

Select thermal host's use of thermal output

12b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each use of the thermal output identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's use of thermal output is not common, and/or if the usefulness of such thermal output is not reasonably clear, then you must provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/or additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific use of thermal output related to the instant facility, then you need only provide a brief description of that use and a reference by date and docket number to the order certifying your facility with the indicated use. Such exemption may not be used if any change creates a material deviation from the previously authorized use.) If additional space is needed, continue in the Miscellaneous section starting on page 19.

Applicants for facilities representing topping-cycle technology must demonstrate compliance with the topping-cycle operating standard and, if applicable, efficiency standard. Section 292.205(a)(1) of the Commission's regulations (18 C.F.R. § 292.205(a)(1)) establishes the operating standard for topping-cycle cogeneration facilities: the useful thermal energy output must be no less than 5 percent of the total energy output. Section 292.205(a)(2) (18 C.F.R. § 292.205(a)(2)) establishes the efficiency standard for topping-cycle cogeneration facilities for which installation commenced on or after March 13, 1980: the useful power output of the facility plus one-half the useful thermal energy output must (A) be no less than 42.5 percent of the total energy input of natural gas and oil to the facility; and (B) if the useful thermal energy output is less than 15 percent of the total energy output of the facility, be no less than 45 percent of the total energy input of natural gas and oil to the facility. To demonstrate compliance with the topping-cycle operating and/or efficiency standards, or to demonstrate that your facility is exempt from the efficiency standard based on the date that installation commenced, respond to lines 13a through
exempt from the efficiency standard based on the date that installation commenced, respond to lines 13a through 13l below.

If you indicated in line 10a that your facility represents *both* topping-cycle and bottoming-cycle cogeneration technology, then respond to lines 13a through 13l below considering only the energy inputs and outputs attributable to the topping-cycle portion of your facility. Your mass and heat balance diagram must make clear which mass and energy flow values and system components are for which portion (topping or bottoming) of the cogeneration system.

13a Indicate the annual average rate of useful thermal energy output made available		
to the host(s), net of any heat contained in condensate return or make-up water		Btu/h
13b Indicate the annual average rate of net electrical energy output		
		kW
13c Multiply line 13b by 3,412 to convert from kW to Btu/h		
	0	Btu/h
13d Indicate the annual average rate of mechanical energy output taken directly off		
of the shaft of a prime mover for purposes not directly related to power production		
(this value is usually zero)		hp
13e Multiply line 13d by 2,544 to convert from hp to Btu/h		
Multiply line 13d by 2,3 11 to convert from the to btd/fr	0	Btu/h
13f Indicate the annual average rate of energy input from natural gas and oil	U	Dtu/11
131 mulcate the annual average rate of energy input from natural gas and on		Dr. /L
40 T		Btu/h
13g Topping-cycle operating value = 100 * 13a / (13a + 13c + 13e)		
	0	%
13h Topping-cycle efficiency value = 100 * (0.5*13a + 13c + 13e) / 13f		
	0	%
13i Compliance with operating standard: Is the operating value shown in line 13g gre	eater than or equal to 5	%?
Yes (complies with operating standard) No (does not comply w	ith operating standard)	
43: Did in the Hating of the femiliar in its compant forms are not as a sufficient AA web 12-1	10003	
13j Did installation of the facility in its current form commence on or after March 13, 1	1980?	
Yes. Your facility is subject to the efficiency requirements of 18 C.F.R. § 292.20	5(a)(2) Demonstrate	
compliance with the efficiency requirement by responding to line 13k or 13l, a		
compliance with the emelency requirement by responding to line 15k of 151, t	is applicable, below.	
No. Your facility is exempt from the efficiency standard. Skip lines 13k and 13l	l.	
13k Compliance with efficiency standard (for low operating value): If the operating value	alue shown in line 13g i	is less
than 15%, then indicate below whether the efficiency value shown in line 13h greater	9	
, , , , , , , , , , , , , , , , , , ,	·	
Yes (complies with efficiency standard) No (does not comply w	ith efficiency standard)	
13I Compliance with efficiency standard (for high operating value): If the operating v		
greater than or equal to 15%, then indicate below whether the efficiency value shown	in line 13h is greater th	nan or
equal to 42.5%:		
Yes (complies with efficiency standard) No (does not comply w	ith afficiency standard)	
103 (complies with emclency standard) 140 (does not comply w	itii ciiiciciicy stailualu)	

Information Required for Bottoming-Cycle Cogeneration Facility

If you indicated in line 10a that your facility represents bottoming-cycle cogeneration technology, then you must respond to the items on pages 16 and 17. Otherwise, skip pages 16 and 17.

Select thermal host's relationship to facility Select thermal host's process type 2) Select thermal host's relationship to facility Yes No Select thermal host's relationship to facility Yes No No Select thermal host's process type Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then yo must provide additional details as necessary to demonstrate usefulness. In some treasonably clear, then you must provide additional details as necessary to demonstrate usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instance of the process of the process have been made.) If additional space is needed, continue in the Miscellaneous section starting on page 19.	14a		mal host and each bottoming-cycle cogeneration poottoming-cycle cogeneration processes, provide the Thermal host's relationship to facility; Thermal host's process type	
Select thermal host's process type Select thermal host's relationship to facility Yes No		production		(ii res, describe on p.
Select thermal host's relationship to facility Select thermal host's process type Select thermal host's relationship to facility Yes No Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then yo must provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instafacility, then you need only provide a brief description of that process and a reference by date and docket numb to the order certifying your facility with the indicated process. Such exemption may not be used if any material changes to the process have been made.) If additional space is needed, continue in the Miscellaneous section	1)			Yes No
Select thermal host's process type Select thermal host's relationship to facility Yes No Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then yo must provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instafacility, then you need only provide a brief description of that process and a reference by date and docket number to the order certifying your facility with the indicated process. Such exemption may not be used if any material changes to the process have been made.) If additional space is needed, continue in the Miscellaneous section	+			, , , , , , , , , , , , , , , , , , ,
Select thermal host's relationship to facility Yes No Select thermal host's process type Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then your provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instafacility, then you need only provide a brief description of that process and a reference by date and docket number to the order certifying your facility with the indicated process. Such exemption may not be used if any material changes to the process have been made.) If additional space is needed, continue in the Miscellaneous section	2)			Yes No No
Select thermal host's process type Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then yo must provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instafacility, then you need only provide a brief description of that process and a reference by date and docket numb to the order certifying your facility with the indicated process. Such exemption may not be used if any material changes to the process have been made.) If additional space is needed, continue in the Miscellaneous section	2)			Vas No No
Check here and continue in the Miscellaneous section starting on page 19 if additional space is needed 14b Demonstration of usefulness of thermal output: At a minimum, provide a brief description of each process identified above. In some cases, this brief description is sufficient to demonstrate usefulness. However, if your facility's process is not common, and/or if the usefulness of such thermal output is not reasonably clear, then yo must provide additional details as necessary to demonstrate usefulness. Your application may be rejected and/additional information may be required if an insufficient showing of usefulness is made. (Exception: If you have previously received a Commission certification approving a specific bottoming-cycle process related to the instafacility, then you need only provide a brief description of that process and a reference by date and docket number to the order certifying your facility with the indicated process. Such exemption may not be used if any material changes to the process have been made.) If additional space is needed, continue in the Miscellaneous section	3)		Select thermal host's process type	les No
	iden facil mus	ntified above. In some cases, this ity's process is not common, and of provide additional details as ne	f thermal output: At a minimum, provide a brief des brief description is sufficient to demonstrate useful l/or if the usefulness of such thermal output is not re ecessary to demonstrate usefulness. Your application	cription of each process ness. However, if your easonably clear, then you on may be rejected and/o

OFFICIAL COPY

Bottoming-Cycle Operating and Efficiency Value Calculation

Applicants for facilities representing bottoming-cycle technology and for which installation commenced on or after March 13, 1990 must demonstrate compliance with the bottoming-cycle efficiency standards. Section 292.205(b) of the Commission's regulations (18 C.F.R. § 292.205(b)) establishes the efficiency standard for bottoming-cycle cogeneration facilities: the useful power output of the facility must be no less than 45 percent of the energy input of natural gas and oil for supplementary firing. To demonstrate compliance with the bottoming-cycle efficiency standard (if applicable), or to demonstrate that your facility is exempt from this standard based on the date that installation of the facility began, respond to lines 15a through 15h below.

If you indicated in line 10a that your facility represents *both* topping-cycle and bottoming-cycle cogeneration technology, then respond to lines 15a through 15h below considering only the energy inputs and outputs attributable to the bottoming-cycle portion of your facility. Your mass and heat balance diagram must make clear which mass and energy flow values and system components are for which portion of the cogeneration system (topping or bottoming).

15a Did installation of the facility in its current form commence on or after March 13,	1980?
Yes. Your facility is subject to the efficiency requirement of 18 C.F.R. § 292.205 with the efficiency requirement by responding to lines 15b through 15h below	
No. Your facility is exempt from the efficiency standard. Skip the rest of page	17.
15b Indicate the annual average rate of net electrical energy output	kW
15c Multiply line 15b by 3,412 to convert from kW to Btu/h	a Dt. (I
	0 Btu/h
15d Indicate the annual average rate of mechanical energy output taken directly off of the shaft of a prime mover for purposes not directly related to power production	
(this value is usually zero)	hp
15e Multiply line 15d by 2,544 to convert from hp to Btu/h	
	0 Btu/h
15f Indicate the annual average rate of supplementary energy input from natural gas	
or oil	Btu/h
15g Bottoming-cycle efficiency value = 100 * (15c + 15e) / 15f	
	0 %
15h Compliance with efficiency standard: Indicate below whether the efficiency value than or equal to 45%:	e shown in line 15g is greater
Yes (complies with efficiency standard) No (does not comply wi	th efficiency standard)

FERC Form 556 Page 18 - All Facilities

Certificate of Completeness, Accuracy and Authority

Applicant must certify compliance with and understanding of filing requirements by checking next to each item below and signing at the bottom of this section. Forms with incomplete Certificates of Completeness, Accuracy and Authority will be rejected by the Secretary of the Commission.

Signer identified below certifies the follow	ving: (check all items and applicable subitems)	
	g any information contained in any attached docu d any information contained in the Miscellaneous s	
He or she has provided all of the required to the best of his or her knowledge as	uired information for certification, and the provided and belief.	d information is true as stated,
He or she possess full power and autl Practice and Procedure (18 C.F.R. § 38	nority to sign the filing; as required by Rule 2005(a 35.2005(a)(3)), he or she is one of the following: (ch)(3) of the Commission's Rules o eck one)
☐ The person on whose behalf	the filing is made	
extstyle ext	trust, association, or other organized group on be	half of which the filing is made
An officer, agent, or employe filing is made	of the governmental authority, agency, or instrum	entality on behalf of which the
	practice before the Commission under Rule 2101 c F.R. § 385.2101) and who possesses authority to si	
He or she has reviewed all automatic Miscellaneous section starting on page	calculations and agrees with their results, unless o	therwise noted in the
interconnect and transact (see lines 4	Form 556 and all attachments to the utilities with a through 4d), as well as to the regulatory authorithe Required Notice to Public Utilities and State Re	ties of the states in which the
Procedure (18 C.F.R. § 385.2005(c)) provid	ture date below. Rule 2005(c) of the Commission' es that persons filing their documents electronical iled documents. A person filing this document ele ded below.	ly may use typed characters
Your Signature	Your address	Date
Evan Riley	3250 Ocean Park Blvd., Suite 355 Santa Monica, CA 90405	8/2/2018
Audit Notes		
Commission Staff Use Only:		

FERC Form 556 Page 19 - All Facilities

Miscellaneous

Use this space to provide any information for which there was not sufficient space in the previous sections of the form to provide. For each such item of information *clearly identify the line number that the information belongs to.* You may also use this space to provide any additional information you believe is relevant to the certification of your facility.

Your response below is not limited to one page. Additional page(s) will automatically be inserted into this form if the length of your response exceeds the space on this page. Use as many pages as you require.

Oct 08 2018