STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

STAFF CONFERENCE AGENDA APRIL 2, 2018

COMMISSION STAFF

NO AGENDA ITEMS

PUBLIC STAFF

E. <u>WATER</u>

P1. DOCKET NO. W-218, SUB 363A – AQUA NORTH CAROLINA, INC. – APPLICATION FOR APPROVAL TO IMPLEMENT SECONDARY WATER QUALITY SYSTEM IMPROVEMENT PROJECTS

EXPLANATION: On January 23, 2018, Aqua North Carolina, Inc. (Aqua), filed an application for approval to implement two secondary water quality system improvement projects pursuant to G.S. 62-133.12 and Commission Rule R7-39. For each project, Aqua plans to use a filter system such as manganese greensand or magnesium oxide. The two projects and their estimated costs are summarized below.

System	<u>County</u>	<u>Well</u> <u>Gallons Per</u> <u>Minute</u>	Aqua Estimated Cost 000's
Brayton Park Well 1	Wake	80	\$315-\$330
Westbury Well 3	Wake	26	\$250-\$265
		Total	\$0.565-\$0.595 Million

On March 26, 2018, the Public Staff filed its Report and Recommendations regarding the application. The Public Staff stated that it had thoroughly reviewed the two filter projects proposed by Aqua. This review included review of documents provided by Aqua at the Public Staff's request, site visits, meetings with Aqua engineers and operations managers, and discussion with customers. Based on its review of the application, the Public Staff concluded that for each of these projects, the filters are necessary for Aqua to provide adequate secondary standard water quality. The Public Staff therefore recommended that the Commission approve Aqua's proposed secondary standard water quality projects.

EXHIBIT: A proposed order is attached as Exhibit No. P-1.

RECOMMENDATION: (Junis/Grantmyre) That the Commission issue the proposed order authorizing Aqua to implement its two proposed filtration projects to comply with secondary water quality standards.

P2. <u>DOCKET NO. W-218, SUB 497 – AQUA NORTH CAROLINA, INC. –</u> <u>APPLICATION FOR A RATE INCREASE</u>

EXPLANATION: On March 7, 2018, Aqua North Carolina, Inc. (Aqua or Applicant), filed an application with the Commission seeking authority to increase its rates for providing water and sewer utility service in all of its service areas in North Carolina. The Applicant states it serves approximately 78,739 water customers and 17,940 sewer customers. In Docket No. W-218, Sub 363, Aqua's last general rate case, the Commission issued an Order Granting Partial Rate Increase, Approving Rate Adjustment Mechanism, and Requiring Customer Notice on May 2, 2014 (Sub 363 Order). Except for approved tariff revisions to the rates of bulk purchased water and/or sewer systems, the present rates for water and sewer service have been in effect since January 1, 2017, pursuant to the December 20, 2016 Order Approving Tariff Revision and Customer Notice issued in Docket Nos. W-218, Sub 363, M-100, Sub 138, and M-100, Sub 142. The present Water and Sewer System Improvement Charges have been in effect since January 1, 2018, pursuant to the December 18, 2017 Order Approving Water and Sewer System Improvement Charges on a Provisional Basis and Requiring Customer Notice issued in Docket No. W-218, Sub 363A.

The Applicant's present and proposed rates are shown on the attached proposed order. Aqua states that the average residential water bills in its various systems (except where it purchases 100% of the water supplied to customers), based on average usage as shown below by billing entity, would increase as follows if the requested rates are approved:

	Gallons Billed	Current Bill	Requested Bill	
<u>Entity</u>	<u>per Month</u>	<u>(based on</u>	<u>(based on</u>	<u>% Increase</u>
	<u>(Average)</u>	<u>Average)</u>	<u>Average)</u>	
Aqua Water	4,990	\$47.17	\$50.98	8.1%
Brookwood Water	5,139	\$29.85	\$34.99	17.2%
Fairways Water	6,403	\$18.28	\$20.02	9.5%

The current water bill amounts for each entity include the applicable base facility charge, usage charge multiplied by the average consumption, and system improvement charge.

Except where it purchases 100% of the wastewater treatment from a third-party provider, Aqua states that the residential sewer rates across the applicable systems, which are charged as flat rates, would increase as follows if the requested rates are approved:

<u>Entity</u>	<u>Current</u>	Requested	<u>% Increase</u>
	Flat Rate	Flat Rate	
Aqua Sewer	\$67.31	\$70.22	4.3%
Fairways Sewer	\$38.09	\$58.90	54.6%

The current sewer bill amounts for each entity include the applicable monthly unmetered rate and system improvement charge.

Aqua has proposed system specific rates for each water and sewer system for which it purchases 100% bulk water or wastewater treatment. For each purchased bulk water system, Aqua has proposed the same base facility charge as for its other statewide uniform rate metered water systems. For the Park South Station and Parkway Crossing sewer systems, which have bulk purchased wastewater treatment, Aqua has proposed system specific metered sewer commodity charges and the same base facility charge as its metered commercial sewer customers.

Additionally, Aqua has requested continued recognition of its authority to pass-through any documented increases in the cost of purchased bulk water, as well as any increased costs of third-party wastewater treatment billed to Aqua.

Aqua has also requested authority to implement a "Consumption Adjustment Mechanism" (CAM). The CAM would operate by comparing a Base Average Consumption based on metered tariff rates established in the general rate case with a Current Average Consumption calculated annually using the most recent twelve months of billing data. If the Current Average Consumption differs more than 1% from the Base Average Consumption, the total annual revenue excess or shortfall would be computed and divided first by the number of bills, and again by twelve to establish the monthly CAM surcharge or surcredit. Aqua asserts that the implementation of the proposed CAM will minimize the revenue impact of significant variations in customer consumption patterns.

In its Sub 363 Order, the Commission authorized the implementation of water and sewer system improvement charges (WSIC/SSIC), which allow Aqua to recover, between rate cases, the costs associated with investment in completed, eligible projects for system or water quality improvement. Aqua indicates in its application in the current docket that it will file its Ongoing Three-Year WSIC/SSIC Plan as part of its application on or before April 2, 2018. Aqua further indicates that after applying the 5% limit on charges recovered pursuant to the WSIC/SSIC mechanism as required by G.S. 62-133.12 to its revenue requests in this docket, the average Aqua Water Rate Division's residential customer's monthly bill would be increased by the WSIC mechanism between rate cases by a maximum of approximately \$2.55 for water service, and \$3.51 by the SSIC mechanism for sewer service. For customers in the Fairways Water Rate Division, Aqua states that the average residential customer's monthly water bill would be increased by a maximum of approximately \$1.00, and the average sewer bill by \$2.95. For customers of the Brookwood Water Rate Division, Aqua indicates that the average residential monthly water bill would be increased by a maximum of approximately \$1.00, and the average sewer bill by \$2.95.

In its application, Aqua also addresses the implementation of the recently enacted Federal Tax Cuts and Jobs Act, which is the subject of the generic proceeding initiated by the Commission in Docket No. M-100, Sub 148. As part of its proposal, Aqua requests that the Commission permit it to amortize unprotected excess deferred federal income taxes over the same time period as the reversal of the timing differences that generated the deferred tax.

Finally, Aqua requests Commission approval of its 2017 Depreciation Studies conducted regarding its investment in water and wastewater plant. Aqua intends to file these studies when it files its expert testimony pursuant to the Commission's forthcoming procedural order.

The Public Staff is authorized to represent that Aqua has voluntarily extended the date upon which it would be entitled to place temporary rates into effect under bond pursuant to G.S. 62-135(a) until November 9, 2018.

EXHIBIT: A proposed order is attached as Exhibit No. P-2.

RECOMMENDATION: (Junis/Henry/Grantmyre) That the Commission issue the proposed order establishing a general rate case, suspending rates, scheduling hearings, and requiring customer notice.

EXHIBIT NO. P-1 PAGE 1 OF 3

STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

DOCKET NO. W-218, SUB 363A

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BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

In the Matter of Reporting Requirements from Docket No. W-218, Sub 363 – Application by Aqua North Carolina, Inc., 202 MacKenan Court, Cary, North Carolina 27511, for Approval to Implement Secondary Water Quality System Improvement Projects Pursuant to G.S. 62-133.12

ORDER APPROVING SECONDARY WATER QUALITY IMPROVEMENT PROJECTS

BY THE COMMISSION: G.S. 62-133.12 authorizes the Commission in a general rate case proceeding to approve a rate adjustment mechanism to allow water and sewer utilities to recover the incremental depreciation expense and capital costs associated with reasonable and prudently incurred investments in eligible water and sewer system improvements. By Order issued May 2, 2014 in Docket No. W-218, Sub 363, the last general rate case proceeding for Aqua North Carolina, Inc. (Aqua), the Commission approved Aqua's request to utilize a Water System Improvement Charge/Sewer System Improvement Charge (WSIC/SSIC) mechanism pursuant to G.S. 62-133.12, finding that the mechanism is in the public interest.

Commission Rules R7-39(f) and R10-26(f) provide that once WSIC and SSIC mechanisms are approved and eligible water and sewer system improvements are in service, the utility (in this case, Aqua) may file a request with the Commission for authority to impose water and sewer system improvement charges pursuant to the mechanisms.

G.S. 62-133.12(c)(2) and (c)(4) provide, in pertinent part, that specific approval from the Commission is necessary before Aqua may undertake and recover its incremental depreciation expense and capital costs through the WSIC mechanism for eligible water system improvements implemented to comply with secondary drinking water standards.

EXHIBIT NO. P-1 PAGE 2 OF 3

On January 23, 2018, Aqua filed an application for approval to implement two secondary water quality system improvement projects pursuant to G.S. 62-133.12 and Commission Rule R7-39. The two projects and their estimated costs are summarized below.

System	<u>County</u>	<u>Well</u> <u>Gallons</u> <u>Per Minute</u>	Aqua Estimated Cost 000's
Brayton Park Well 1	Wake	80	\$315-\$330
Westbury Well 3	Wake	26	\$250-\$265
		Total	\$0.565-\$0.595 Million

On March 26, 2018, the Public Staff filed its Report and Recommendations regarding Aqua's application. The Public Staff stated that it had thoroughly reviewed the two filter projects proposed by Aqua. Based upon its review of documents and other information provided by Aqua, site visits, and discussions with customers and Aqua's engineers and operations managers, the Public Staff recommended that the Commission approve the proposed projects.

In recommending approval of the projects, the Public Staff advised that decisions to install filters, such as manganese greensand or magnesium oxide, be made judiciously, as installation of such filters is many times more costly than sequestration coupled with adequate flushing. According to the Public Staff, the annual revenue requirement increase for the minimum estimated capital expenditure of \$0.565 million for the filtration systems proposed in Aqua's application is approximately \$73,004 compared to the annual revenue requirement for the chemical cost for sequestration of approximately \$494. The Public Staff stated that the sequestration treatment of iron and manganese with polyphosphates and orthophosphates on water from North Carolina water wells, coupled with comprehensive water main flushing programs, has largely provided adequate secondary standard water quality on many water systems at a very reasonable cost. The process of testing whether the iron and manganese are soluble (clear liquid) or insoluble (solid particles and visible) in raw untreated water at the well head, after treatment with polyphosphate/orthophosphate or SeaQuest at the entry point, and in the distribution system, has been widely used in North Carolina for many years and provides extremely valuable information to assist in evaluations of whether filtration is necessary. These treatment processes are exponentially less expensive than an iron and manganese filtration system. The Public Staff recognized, however, that for secondary water quality issues of considerable magnitude and consistency, sequestration treatment and flushing may not be effective and may necessitate filtration.

EXHIBIT NO. P-1 PAGE 3 OF 3

As discussed in previous reports, the Public Staff strongly supports the implementation of two additional secondary water quality processes: a comprehensive water main flushing program and a comprehensive customer education program. The Public Staff recommended that Aqua continue to upgrade its flushing program. Regarding customer education, the Public Staff noted that with its input, Aqua has prepared and posted on its website (https://www.aquaamerica.com/our-states/north-carolina.aspx) a fact sheet titled "Flushing Water Mains," and a best practices document titled "Iron and Manganese in Drinking Water". According to Aqua, these documents have been made available to its employees to distribute to customers they may visit who experience a discolored water issue. The Public Staff stated that it considers the documents to be a useful resources to help customers better understand flushing and minimize the negative effects of discolored water caused by the presence of iron and manganese.

In summary, the Public Staff stated that it will continue to carefully and thoroughly review secondary water quality information and documentation presented by Aqua, meet with Aqua engineers and operations managers, conduct selected site visits, discuss secondary water quality issues with customers, and recommend, when appropriate, Commission approval of equipment and infrastructure installations.

The Public Staff presented this matter to the Commission at its Regular Staff Conference on April 2, 2018. The Public Staff stated that each of the filters is necessary for Aqua to provide adequate secondary standard water quality. The Public Staff therefore recommended that the Commission approve Aqua's two proposed secondary standard water quality projects.

Based upon the foregoing, Aqua's application, the Public Staff's Report and Recommendations, and the entire record in this matter, the Commission finds and concludes that Aqua should proceed to implement secondary standard water quality improvements through the installation of Aqua's proposed filtration projects.

IT IS, THEREFORE, ORDERED that Aqua is authorized to implement the two filtration projects proposed in its January 23, 2018, application to comply with secondary water quality standards.

ISSUED BY ORDER OF THE COMMISSION.

This the____ day of April, 2018.

NORTH CAROLINA UTILITIES COMMISSION

M. Lynn Jarvis, Chief Clerk

EXHIBIT NO. P-2 PAGE 1 OF 18

STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

DOCKET NO. W-218, SUB 497

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BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

In the Matter of

Application by Aqua North Carolina, Inc., 202) MacKenan Court, Cary, North Carolina 27511, for) Authority to Adjust and Increase Rates for Water and Sewer Utility Service in All Service Areas in North Carolina

ORDER ESTABLISHING GENERAL RATE CASE, SUSPENDING RATES, SCHEDULING HEARINGS, AND REQUIRING PUBLIC NOTICE

BY THE COMMISSION: On March 7, 2018, Agua North Carolina, Inc. (Agua or Applicant) filed an application with the Commission seeking authority to increase its rates for providing water and sewer utility service in all of its service areas in North Carolina. The Applicant states it serves approximately 78,739 water customers and 17,940 sewer customers. In Docket No. W-218, Sub 363, Aqua's last general rate case, the Commission issued an Order Granting Partial Rate Increase, Approving Rate Adjustment Mechanism, and Requiring Customer Notice on May 2, 2014. Except for approved tariff revisions to the rates of bulk purchased water and/or sewer systems, the present rates for water and sewer service have been in effect since January 1, 2017, pursuant to the December 20, 2016 Order Approving Tariff Revision and Customer Notice issued in Docket Nos. W-218, Sub 363, M-100, Sub 138, and M-100, Sub 142. The present Water and Sewer System Improvement Charges have been in effect since January 1, 2018, pursuant to the December 18, 2017 Order Approving Water and Sewer System Improvement Charges on a Provisional Basis and Requiring Customer Notice issued in Docket No. W-218, Sub 363A.

Aqua has voluntarily extended the date upon which it would be entitled to place temporary rates into effect under bond pursuant to G.S. 62-135(a) until November 9, 2018.

The Commission is of the opinion that the application constitutes a general rate case and that the proposed new rates should be suspended pending investigation. The Commission is also of the opinion that the application should be scheduled for hearing and that customers should be notified.

IT IS, THEREFORE, ORDERED as follows:

EXHIBIT NO. P-2 PAGE 2 OF 18

1. That this proceeding is declared a general rate case pursuant to G.S. 62-137.

2. That the proposed new rates are suspended for up to 270 days pursuant to G.S. 62-134.

3. That the test year period is established as the twelve-month period ending September 30, 2017.

4. That the application is scheduled for an evidentiary hearing as follows:

<u>Raleigh</u>: Beginning at ______ on September 10, 2018, and continuing as required for testimony and cross-examination of the witnesses of the Applicant, the Public Staff, and any other intervenors, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street.

5. That the following hearings are scheduled for public witness testimony:

_____: At _____, on _____, 2018, in the _____;

<u>Gastonia</u>: At 7:00 p.m., on Wednesday, May 9, 2018, in the Gaston County Courthouse, Courtroom 4C, 325 Dr. Martin Luther King Jr. Way;

<u>Raleigh</u>: At 7:00 p.m., on Monday, June 25, 2018, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street; and

<u>Wilmington</u>: At 7:00 p.m., on Tuesday, June 26, 2018, in the New Hanover County Courthouse, Courtroom 317, 316 Princess Street.

6. The hearing scheduled to begin on September 10, 2018, shall be for the purpose of receiving expert witness testimony of the Applicant, the Public Staff, and any other intervenors. Public witnesses who are unable to attend any of the first four hearings may also be heard at the hearing on September 10, 2018.

7. That the Applicant is required to provide to the Public Staff all updates and supporting data by July 20, 2018. This requirement shall not apply to events that are neither foreseeable nor within the Applicant's control. This requirement shall not prevent the Applicant from providing evidence showing changes in costs, including plant in service additions, pursuant to G.S. 62-133(c).

EXHIBIT NO. P-2 PAGE 3 OF 18

8. That the Applicant is required to prefile all testimony on which it will rely at the evidentiary hearing on or before July 27, 2018. The Public Staff and other intervenors shall prefile testimony on or before August 21, 2018, and the Applicant shall prefile any rebuttal testimony no later than August 31, 2018.

9. That petitions to intervene in this proceeding shall be filed pursuant to Commission Rules R1-5 and R1-19 no later than August 21, 2018.

10. That the Applicant is required to file a report addressing all customer service and/or service quality complaints expressed at the hearings within 20 days after each hearing wherein the complaint(s) was/were expressed.

11. That the applicable Notice to Customers, attached as Appendices A, B, and C, shall be mailed with sufficient postage or hand delivered by the Applicant to the respective customers no later than 15 days after the date of this Order; and the Applicant shall submit to the Commission the attached Certificate of Service properly signed and notarized no later than 30 days after the date of this Order.

ISSUED BY ORDER OF THE COMMISSION.

This the ____ day of April, 2018.

NORTH CAROLINA UTILITIES COMMISSION

(SEAL)

M. Lynn Jarvis, Chief Clerk

EXHIBIT NO. P-2 PAGE 4 OF 18

APPENDIX A

STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

NOTICE TO CUSTOMERS DOCKET NO. W-218, SUB 497 BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

Notice is given that Aqua North Carolina, Inc. (Applicant), 202 MacKenan Court, Cary, North Carolina 27511, has filed an application with the North Carolina Utilities Commission for authority to increase rates for providing water and/or sewer utility service in all its service areas in North Carolina. The Applicant's proposed changes to the rates for all its service areas, with the exception of the Brookwood/LaGrange service areas in Cumberland and Hoke Counties and the Fairways and Beau Rivage (The Cape) service areas in New Hanover County are as follows:

WATER UTILITY SERVICE

Monthly Metered Service (Residential and Commercial customers)	Existing	Proposed
Base facility charge (zero usage, based on meter size)	Rates	Rates
<1" meter	\$ 17.92	\$ 22.34
1" meter	\$ 44.80	\$ 55.85
1-1/2" meter	\$ 89.60	\$ 111.70
2" meter	\$143.37	\$ 178.72
3" meter	\$268.81	\$ 335.10
4" meter	\$448.02	\$ 558.50
6" meter	\$896.04	\$1,117.00

The base charges listed above apply to all Aqua North Carolina metered water systems except those in the Brookwood/LaGrange service areas in Cumberland and Hoke Counties and the Beau Rivage/Fairways (The Cape) service areas in New Hanover County.

<u>Usage charge, per 1,000 gallons</u> All service areas unless noted differently below	Existing <u>Rate</u> \$5.43	Proposed <u>Rate</u> \$ 5.74
<u>Timberlake and Thornton Ridge Water System in Alamance County</u> Base facility charge (zero usage) Usage charge, per 1,000 gallons	Existing <u>Rates</u> \$ 12.96 \$ 4.41	Proposed <u>Rates</u> As shown above As shown above
Wimbledon, Glennburn, and Knollwood Water Systems in Gaston County Base facility charge (zero usage) Usage charge, per 1,000 gallons	Existing <u>Rates</u> \$ 21.07 \$ 7.18	Proposed <u>Rates</u> As shown above As shown above
<u>Clear Meadow Water System in Mecklenburg County</u> Base facility charge (zero usage) Usage charge, per 1,000 gallons	Existing <u>Rates</u> \$ 15.71 \$ 3.22	Proposed <u>Rates</u> As shown above As shown above

Bulk Purchased Water Systems

Proposed base monthly charge same as above

Proposed usage charge per 1,000 gallons same as bulk water provider as shown below

EXHIBIT NO. P-2 PAGE 5 OF 18

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	Plantation Point	Johnston County	\$ 2.33	\$ 2.33

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		Existing	Proposed
Service Area	Water Provider	Rates	Usage Charge
Poplar Woods POD 6A (aka Wilder's	Johnston County	\$ 2.33	\$ 2.33
Woods Phase 2)			
River Dell East POD NE 12	Johnston County	\$ 2.33	\$ 2.33
River Dell Townes	Johnston County	\$ 2.33	\$ 2.33
South Plantation	Johnston County	\$ 2.33	\$ 2.33
South Quarter POD 6B	Johnston County	\$ 2.33	\$ 2.33
Southgate	Johnston County	\$ 2.33	\$ 2.33
Sun Ridge Farms	Johnston County	\$ 2.33	\$ 2.33
Sweetgrass	Johnston County	\$ 2.33	\$ 2.33
The Gardens at Flowers Plantation	Johnston County	\$ 2.33	\$ 2.33
The Meadows at Flowers Plantation	Johnston County	\$ 2.33	\$ 2.33
The Nines	Johnston County	\$ 2.33	\$ 2.33
The Pods IV	Johnston County	\$ 2.33	\$ 2.33
The Woodlands at Flowers Plantation	Johnston County	\$ 2.33	\$ 2.33
Trillium	Johnston County	\$ 2.33	\$ 2.33
Walker Woods	Johnston County	\$ 2.33	\$ 2.33
Watson's Mill	Johnston County	\$ 2.33	\$ 2.33
West Ashley at Flowers	Johnston County	\$ 2.33	\$ 2.33
Whitfield (aka Smith Village)	Johnston County	\$ 2.33	\$ 2.33
Wilders Woods and Extension	Johnston County	\$ 2.33	\$ 2.33
Holly Hills	Town of Forest City	\$ 5.95	\$ 5.95
Pear Meadows	Town of Fuquay-Varina	\$ 4.35	\$ 4.35
Swiss Pine Lake	Town of Spruce Pines	\$ 4.93	\$ 4.93
		Eviation	Dreneed
		Existing	Proposed
Monthly Unmetered service (flat rate)		Rates	Rates
Residential customers	I)	\$ 37.83	\$ 50.98
Commercial customers (per *REL *(REU = Residential Equivalent L	•	\$ 63.36	\$ 74.99
		Existing	Proposed
Water System Improvement Charge		Rates	Rates
All service areas unless noted differently	below	4.77%	0.00%

The Water System Improvement Charge is applied to the total water utility bill of each customer under the Applicant's applicable rates and charges.

The Glennburn, Knollwood, and Wimbledon systems in Gaston County, Thornton Ridge/Timberlake system in Alamance County, and Clear Meadow system in Mecklenburg County were previously not eligible for Water System Improvement Charge recovery, however, the Applicant has proposed incorporating these systems into uniform rates and making them WSIC eligible.

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SEWER UTILITY SERVICE

	Existing	Proposed
Monthly Unmetered Service (flat rate)	Rates	Rates
All service areas unless noted differently below		
Residential customers	\$ 64.98	\$ 70.22
Commercial customers (per *REU)	\$ 90.97	\$ 119.92
*(REU = Residential Equivalent Unit)		

Commercial Monthly Metered Service and all the Parkway Crossing and Park South Station Service Areas (based on metered water usage)

Base facility charge (zero usage, based on water meter size)

All service areas unless noted differently below

All service areas unless noted differently below		
	Existing <u>Rates</u>	Proposed <u>Rates</u>
<1" meter	\$ 23.13	\$ 28.00
1" meter	\$ 57.82	\$ 70.00
1-1/2" meter	\$ 115.64	\$ 140.00
2" meter	\$ 185.02	\$ 224.00
3" meter	\$ 346.92	\$ 420.00
4" meter	\$ 578.20	\$ 700.00
6" meter		+
o melei	\$1,156.40	\$1,400.00
<u>Usage charge, per 1,000 gallons</u> All service areas unless noted differently below	\$ 8.02	\$ 8.46
Parkway Crossing and Park South Station Service Areas Base facility charge (zero usage) Usage charge, per 1,000 gallons	Existing <u>Rates</u> As shown above \$ 6.45	Proposed <u>Rates</u> As shown above \$ 6.45
Hawthorne Green (formerly Vista Park Apartments) Base facility charge (zero usage, based on REU) Usage charge, per 1,000 gallons	Existing <u>Rates</u> \$ 40.40 \$ 5.11	Proposed <u>Rates</u> \$ 40.40 \$ 6.11
Sewer System Improvement Charge All service areas unless noted differently	Existing <u>Rates</u> 3.59%	Proposed <u>Rates</u> 0.00%

The Sewer System Improvement Charge is applied to the total sewer utility bill of each customer under the Applicant's applicable rates and charges.

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The Commission may consider additional or alternative rate design proposals that were not included in the original application and may order increases or decreases in the schedules that differ from those proposed by the Applicant. However, any rate structure considered will not generate more overall revenues than requested.

EFFECT OF RATES

The average monthly residential water bill, based on an average usage of 4,990 gallons, would increase by approximately the following percentages:

	Percentage Increase
All Aqua Service Areas, except as listed below	
Timberlake and Thornton Ridge	39.2%
Wimbledon, Glennburn, and Knollwood	-14.5%
Clear Meadow	53.1%
Twin Creeks	6.2%
Park South	11.1%
Parkway Crossing	11.1%
Springhill/Springdale	5.2%
Crystal Creek	8.2%
Hoopers Valley	8.2%
Rambling Ridge	8.2%
Brookwood	5.2%
Cedarwood Estates	8.6%
Heritage Farms	8.6%
Hill-N-Dale	2.9%
East Shores	9.3%
Greenfield	3.3%
Bett's Brook	8.6%
Beard Acres	5.0%
Crestwood	5.0%
Lancer Acres	5.0%
Woodlake Development	8.7%
Beechwood Cove	3.4%
Chatham	3.4%
Cole Park Plaza Shopping Center	1.7%
Hidden Valley	3.4%
Polks Landing	3.4%
Chapel Ridge	0.3%
Laurel Ridge	0.3%
The Parks at Meadowview	0.3%
River Hill Heights	8.8%
Bedford at Flowers	9.7%
Bennett Place	9.7%
Chatham	9.7%
Cotton Field Village	9.7%
Creekside Place	9.7%
Eastlake at Flowers Plantation	9.7%
Evergreen POD NE10	9.7%
Flowers Crest	9.7%
Flowers Plantation	9.7%
Flowers Shopping Center	9.7%
Forge Creek at Flowers	9.7%
Longleaf (aka Dogwood)	9.7%
Magnolia	9.7%
Magnolia Village	9.7%

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	Percentage Increase
Mill Creek N/Pods II	9.7%
Mill Creek West	9.7%
North Farm Cottages	9.7%
North Farms	9.7%
North Village	9.7%
Parkway Commercial	9.7%
Peachtree	9.7%
Pineville Club	9.7%
Pineville East	9.7%
Pineville East Cottages/Palmetto Place	9.7%
Pineville East Estates	9.7%
Pineville West	9.7%
Plantation Park	9.7%
Plantation Point	9.7%
Poplar Woods POD 6A (aka Wilder's	9.7%
Woods Phase 2)	
River Dell East POD NE 12	9.7%
River Dell Townes	9.7%
South Plantation	9.7%
South Quarter POD 6B	9.7%
Southgate	9.7%
Sun Ridge Farms	9.7%
Sweetgrass	9.7%
The Gardens at Flowers Plantation	9.7%
The Meadows at Flowers Plantation	9.7%
The Nines	9.7%
The Pods IV	9.7%
The Woodlands at Flowers Plantation	9.7%
Trillium	9.7%
Walker Woods	9.7%
Watson's Mill	9.7%
West Ashley at Flowers	9.7%
Whitfield (aka Smith Village)	9.7%
Wilders Woods and Extension	9.7%
Holly Hills	4.3%
Pear Meadows	6.1%
Swiss Pine Lake	5.4%

The monthly residential flat rate sewer bill would increase by approximately 4.3%.

WATER AND SEWER SYSTEM IMPROVEMENT CHARGE MECHANISM

Pursuant to Commission Rules R7-39(k) and R10-26(k), the Water System Improvement Charge and Sewer System Improvement Charge shall be reset at zero as of the effective date of new base rates established by the Commission in this general rate case.

CONSUMPTION ADJUSTMENT MECHANISM

The Applicant has also requested authority to implement a "Consumption Adjustment Mechanism" (CAM). The CAM would operate by comparing a Base Average Consumption based on metered tariff rates established in the general rate case with a Current Average Consumption calculated annually using the most recent twelve months of billing data. If the Current Average Consumption differs more than 1% from the Base Average Consumption, the total annual revenue excess or shortfall would be computed and divided first by the number of bills, and again by twelve to establish the monthly CAM surcharge or surcredit. Aqua asserts in its application that the implementation of the proposed CAM will minimize the revenue impact of significant variations in customer consumption patterns.

EXHIBIT NO. P-2 PAGE 10 OF 18

PUBLIC HEARINGS

The Commission has scheduled the application for an evidentiary hearing as follows:

<u>Raleigh</u>: Beginning at _____, on September 10, 2018, and continuing as required for testimony and cross-examination of the witnesses of the Applicant, the Public Staff, and any other intervenors, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street.

The Commission has also scheduled public witness hearings as follows:

_____: At _____, on _____, 2018, in the _____ County Courthouse, Courtroom _____;

<u>Gastonia</u>: At 7:00 p.m., on Wednesday, May 9, 2018, in the Gaston County Courthouse, Courtroom 4C, 325 Dr. Martin Luther King Jr. Way;

Raleigh: At 7:00 p.m., on Monday, June 25, 2018, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street; and

Wilmington: At 7:00 p.m., on Tuesday, June 26, 2018, in the New Hanover County Courthouse, Courtroom 317, 316 Princess Street.

The hearing beginning on September 10, 2018, is for the purpose of receiving expert witness testimony of the Applicant, the Public Staff, and any other intervenors. Customers or other public witnesses who are unable to attend any of the first four hearings may also be heard at the hearing on September 10, 2018.

The Public Staff is authorized by statute to represent consumers in proceedings before the Commission. Written statements to the Public Staff should include the name of the subdivision where the customer is receiving service, contact information, and any information that the writer wishes to be considered by the Public Staff in its investigation of the matter; these statements should be addressed to Mr. Christopher J. Ayers, Executive Director, Public Staff, 4326 Mail Service Center, Raleigh, North Carolina 27699-4326. Written statements may also be faxed to (919) 715-6704 or e-mailed to sharon.wade@psncuc.nc.gov.

The Attorney General is also authorized by statute to represent consumers in proceedings before the Commission. Statements to the Attorney General should be addressed to The Honorable Josh Stein, Attorney General, 9001 Mail Service Center, Raleigh, North Carolina 27699-9001.

Written statements are not evidence unless those persons appear at a public hearing and testify concerning the information contained in their written statements.

Persons desiring to intervene in the matter as formal parties of record should file a petition pursuant to North Carolina Utilities Commission Rules R1-5 and R1-19 no later than August 21, 2018. These motions should be filed with the North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4300.

Information regarding this proceeding can be accessed from the Commission's website at <u>www.ncuc.net</u> under the docket number of this proceeding.

This the _____ day of _____, 2018.

NORTH CAROLINA UTILITIES COMMISSION

M. Lynn Jarvis, Chief Clerk

(SEAL)

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APPENDIX B

STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

NOTICE TO CUSTOMERS DOCKET NO. W-218, SUB 497 BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

Notice is given that Aqua North Carolina, Inc. (Applicant), 202 MacKenan Court, Cary, North Carolina 27511, has filed an application with the North Carolina Utilities Commission for authority to increase rates for providing water and/or sewer utility service in all its service areas in North Carolina. The Applicant's proposed changes to the present water rates for its Brookwood/LaGrange service areas in Cumberland and Hoke Counties are as follows:

WATER UTILITY SERVICE

Monthly Metered Service (Residential and Commercial customers)	Existing	Proposed
Base charge, per month (zero usage, based on meter size)	Rates	Rates
<1" meter	\$ 13.11	\$ 15.57
1" meter	\$ 32.77	\$ 38.93
1-1/2" meter	\$ 65.55	\$ 77.85
2" meter	\$ 104.87	\$ 124.56
3" meter	\$ 196.64	\$ 233.55
4" meter	\$ 327.73	\$ 389.25
6" meter	\$ 655.47	\$ 778.50
Usage charge, per 1,000 gallons All service areas unless noted differently below	\$ 2.96	\$ 3.78

Bulk Purchased Water Systems

Proposed monthly base charge same as above

Proposed usage rate per 1,000 gallons same as bulk water provider as shown below:

		Ex	tisting	Р	roposed
Service Area	Water Provider	F	Rates		Rates
Arden Forest	Fayetteville PWC	\$	2.68	\$	2.68
Bretton Woods	Fayetteville PWC	\$	2.68	\$	2.68
Colony Village	Fayetteville PWC	\$	2.68	\$	2.68
County Walk	Fayetteville PWC	\$	2.68	\$	2.68
Forest Lake	Fayetteville PWC	\$	2.68	\$	2.68
Jena-Shane	Fayetteville PWC	\$	2.68	\$	2.68
Kelly Hills	Fayetteville PWC	\$	2.68	\$	2.68
Lands Down West	Fayetteville PWC	\$	2.68	\$	2.68
Porter Place	Fayetteville PWC	\$	2.68	\$	2.68
Raintree	Fayetteville PWC	\$	2.68	\$	2.68
Ridge Manor	Fayetteville PWC	\$	2.68	\$	2.68
S & L Estates	Fayetteville PWC	\$	2.68	\$	2.68
Springdale	Fayetteville PWC	\$	2.68	\$	2.68
Stoney Point	Fayetteville PWC	\$	2.68	\$	2.68
Tarleton Plantation	Fayetteville PWC	\$	2.68	\$	2.68
Thornwood	Fayetteville PWC	\$	2.68	\$	2.68
Wendemere	Fayetteville PWC	\$	2.68	\$	2.68
Windsong	Fayetteville PWC	\$	2.68	\$	2.68
Woodland Run	Town of Linden	\$	4.98	\$	4.98

EXHIBIT NO. P-2 PAGE 12 OF 18

Monthly Unmetered Service/REU (flat rate)	Existing Rates	Proposed Rates
Residential Rate	\$ 31.15	\$ 34.99
Commercial customers (per *REU) *(REU = Residential Equivalent Unit)	\$ 31.15	\$ 48.03
Water System Improvement Charge All service areas unless noted differently below	Existing <u>Rates</u> 5.39%	Proposed <u>Rates</u> 0.00%

The Water System Improvement Charge is applied to the total water utility bill of each customer under the Applicant's applicable rates and charges.

The Commission may consider additional or alternative rate design proposals that were not included in the original application and may order increases or decreases in the schedules that differ from those proposed by the Applicant. However, any rate structure considered will not generate more overall revenues than requested.

EFFECT OF RATES

The average monthly residential water bill, based on an average usage of 5,139 gallons, would increase approximately by the following percentages:

	Percentage Increase
All Brookwood/LaGrange Service Areas, except as listed below:	17.2%
Arden Forest Bretton Woods Colony Village County Walk Forest Lake Jena-Shane Kelly Hills Lands Down West Porter Place	3.6% 3.6% 3.6% 3.6% 3.6% 3.6% 3.6% 3.6%
Raintree Ridge Manor S & L Estates Springdale Stoney Point Tarleton Plantation Thornwood Wendemere Windsong Woodland Run	3.6% 3.6% 3.6% 3.6% 3.6% 3.6% 3.6% 3.6%

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EXHIBIT NO. P-2 PAGE 13 OF 18

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The Public Staff is authorized by statute to represent consumers in proceedings before the Commission. Written statements to the Public Staff should include the name of the subdivision where the customer is receiving service, contact information, and any information that the writer wishes to be considered by the Public Staff in its investigation of the matter; these statements should be addressed to Mr. Christopher J. Ayers, Executive Director, Public Staff, 4326 Mail Service Center, Raleigh, North Carolina 27699-4300. Written statements may also be faxed to (919) 715-6704 or e-mailed to sharon.wade@psncuc.nc.gov.

The Attorney General is also authorized by statute to represent consumers in proceedings before the Commission. Statements to the Attorney General should be addressed to The Honorable Josh Stein, Attorney General, 9001 Mail Service Center, Raleigh, North Carolina 27699-9001.

Written statements are not evidence unless those persons appear at a public hearing and testify concerning the information contained in their written statements.

Persons desiring to intervene in the matter as formal parties of record should file a petition pursuant to North Carolina Utilities Commission Rules R1-5 and R1-19 no later than August 21, 2018. These motions should be filed with the North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4300.

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Information regarding this proceeding can be accessed from the Commission's website at <u>www.ncuc.net</u> under the docket number of this proceeding.

This the _____ day of ______, 2018.

NORTH CAROLINA UTILITIES COMMISSION

(SEAL)

M. Lynn Jarvis, Chief Clerk

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APPENDIX C

STATE OF NORTH CAROLINA UTILITIES COMMISSION RALEIGH

NOTICE TO CUSTOMERS DOCKET NO. W-218, SUB 497 BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

Notice is given that Aqua North Carolina, Inc. (Applicant), 202 MacKenan Court, Cary, North Carolina 27511, has filed an application with the North Carolina Utilities Commission for authority to increase rates for providing water and/or sewer utility service in all its service areas in North Carolina. The Applicant's proposed changes to the present water rates for its Fairways and Beau Rivage (The Cape) service area in New Hanover County are as follows:

WATER UTILITY SERVICE

Monthly Metered Service (Residential and Commercial customers) Base charge, per month (zero usage, based on meter size) <1" meter 1" meter 1-1/2" meter 2" meter 3" meter 4" meter 6" meter	Existing Rates \$ 8.44 \$ 21.09 \$ 42.18 \$ 67.48 \$ 126.53 \$ 210.89 \$ 421.78	Proposed <u>Rates</u> \$ 10.03 \$ 25.10 \$ 50.20 \$ 80.32 \$ 150.60 \$ 251.00 \$ 502.00
Usage charge, per 1,000 gallons	\$ 1.42	\$ 1.56
Monthly Unmetered Service/REU (flat rate)	Existing Rates	Proposed Rates
Residential Rate Commercial customers (per *REU) *(REU = Residential Equivalent Unit)	\$ NA \$ NA	\$ 34.99 \$ 48.03
Water System Improvement Charge All service areas unless noted differently	Existing <u>Rates</u> 4.27%	Proposed <u>Rates</u> 0.00%

The Water System Improvement Charge is applied to the total water utility bill of each customer under the Applicant's applicable rates and charges.

SEWER UTILITY SERVICE

Monthly Unmetered Service (flat rate) All service areas unless noted differently below	Existing <u>Rates</u>	Proposed <u>Rates</u>
Residential customers	\$ 36.44	\$ 58.90
Commercial customers (per *REU)	\$ 36.44	\$ 86.70
*(REU = Residential Equivalent Unit)		

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Commercial Monthly Metered Service (based on metered water usage)	Existing	Proposed
Base facility charge (zero usage, based on water meter size)	<u>Rates</u>	<u>Rates</u>
<1" meter	\$ 11.45	\$ 17.65
1" meter	\$ 28.62	\$ 44.13
1-1/2" meter	\$ 57.25	\$ 88.25
2" meter	\$ 91.60	\$ 141.20
3" meter	\$ 171.75	\$ 264.75
4" meter	\$ 286.24	\$ 441.25
6" meter Usage charge, per 1,000 gallons <u>Sewer System Improvement Charge</u> All service areas unless noted differently	\$ 572.49 \$ 7.22 Existing <u>Rates</u> 4.53%	\$ 882.50 \$ 7.50 Proposed <u>Rates</u> 0.00%

The Sewer System Improvement Charge is applied to the total sewer utility bill of each customer under the Applicant's applicable rates and charges.

The Commission may consider additional or alternative rate design proposals that were not included in the original application and may order increases or decreases in the schedules that differ from those proposed by the Applicant. However, any rate structure considered will not generate more overall revenues than requested.

EFFECT OF RATES

The average monthly residential water bill, based on an average usage of 6,403 gallons, would increase by approximately 9.5%. The monthly residential sewer bill would increase by approximately 54.6%.

WATER AND SEWER SYSTEM IMPROVEMENT CHARGE MECHANISM

Pursuant to Commission Rules R7-39(k) and R10-26(k), the Water System Improvement Charge and Sewer System Improvement Charge shall be reset at zero as of the effective date of new base rates established by the Commission in this general rate case.

CONSUMPTION ADJUSTMENT MECHANISM

The Applicant has also requested authority to implement a "Consumption Adjustment Mechanism" (CAM). The CAM would operate by comparing a Base Average Consumption based on metered tariff rates established in the general rate case with a Current Average Consumption calculated annually using the most recent twelve months of billing data. If the Current Average Consumption differs more than 1% from the Base Average Consumption, the total annual revenue excess or shortfall would be computed and divided first by the number of bills, and again by twelve to establish the monthly CAM surcharge or surcredit. Aqua asserts in its application that the implementation of the proposed CAM will minimize the revenue impact of significant variations in customer consumption patterns.

PUBLIC HEARINGS

The Commission has scheduled the application for an evidentiary hearing as follows:

<u>Raleigh</u>: Beginning at ______, on September 10, 2018, and continuing as required for testimony and cross-examination of the witnesses of the Applicant, the Public Staff, and any other intervenors, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street.

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The Commission has also scheduled public witness hearings as follows:

_____, 2018, in the _____ County Courthouse, Courtroom

<u>Gastonia</u>: At 7:00 p.m., on Wednesday, May 9, 2018, in the Gaston County Courthouse, Courtroom 4C, 325 Dr. Martin Luther King Jr. Way;

<u>Raleigh</u>: At 7:00 p.m., on Monday, June 25, 2018, in Commission Hearing Room 2115, Dobbs Building, 430 North Salisbury Street; and

Wilmington: At 7:00 p.m., on Tuesday, June 26, 2018, in the New Hanover County Courthouse, Courtroom 317, 316 Princess Street.

The hearing beginning on September 10, 2018, is for the purpose of receiving expert witness testimony of the Applicant, the Public Staff, and any other intervenors. Customers or other public witnesses who are unable to attend any of the first four hearings may also be heard at the hearing on September 10, 2018.

Pursuant to G.S. 14-269.4, absent certain specific exceptions, it is unlawful for any person to possess, or carry, whether openly or concealed, any deadly weapon, not used solely for instructional or officially sanctioned ceremonial purposes, in any building housing any court of the General Court of Justice. Therefore, weapons are strictly prohibited at the hearings scheduled in this docket in county courthouses. Everyone entering the county courthouse shall have a pass through a metal detector, and any weapons found will be seized.

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Information regarding this proceeding can be accessed from the Commission's website at <u>www.ncuc.net</u> under the docket number of this proceeding.

This the _____ day of ______, 2018.

NORTH CAROLINA UTILITIES COMMISSION

(SEAL)

M. Lynn Jarvis, Chief Clerk

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CERTIFICATE OF SERVICE

I, _____, mailed with sufficient postage or hand delivered to all affected customers the attached Notice to Customers issued by the North Carolina Utilities Commission in Docket No. W-218, Sub 497, and the Notice was mailed or hand delivered by the date specified in the Order.

This the ____ day of _____, 2018.

By: _____ Signature

Aqua North Carolina, Inc.

The above named Applicant, ______ personally appeared before me this day and, being first duly sworn, says that the required Notice to Customers was mailed or hand delivered to all affected customers, as required by the Commission Order dated ______ in Docket No. W-218, Sub 497.

Witness my hand and notarial seal, this the ____ day of _____, 2018.

Notary Public

Printed Name

(SEAL) My Commission Expires:

Date